
Weakly-Synchronized Ground Tree Rewriting

(with Applications to Verifying Multithreaded Programs)

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Multithreaded programs

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- Rapidly gaining popularity
- Multithreading supported by JAVA, Python, C++, C#
- Benefit: concurrent executions on multiple processors
- **Main problem:**
 - Can be difficult to understand
 - Standard testing and debugging insufficient

Parallel.For **and** parbegin/parend **constructs**

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parbegin/parend in action:

```
int* mergesort(int *array)
  int *a1, *a2;
  parbegin
    a1 = mergesort(1st half of array);
    a2 = mergesort(2nd half of array);
  parend
  return merge(a1, a2);
```

Parallel.For and parbegin/parend constructs

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Parallel.For in action:

```
bool b[50];
```

```
Parallel.For(0,49,i,=>)
```

```
{  
    b[i] = fun2(a[i]);  
}
```

```
return  $\bigwedge_{i=0}^{49}$  b[i];
```

Summary of the problem

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- **Problem:** verify multithreaded programs with `parbegin/parend` and `parallel.for` constructs.
- **Our approach:**
 - Design a formal model
 - Design verification algorithms

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Summary of the model

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- **Pushdown systems (PDS)**: popular model for sequential programs with function calls.

$$\text{PDS} \subseteq \text{GTRS} \subseteq \text{wGTRS} \subseteq \text{sGTRS}$$

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- **Pushdown systems (PDS)**: popular model for sequential programs with function calls.
- **Ground Tree Rewrite Systems (GTRS)**: extends PDS & captures `Parallel.For` and `parbegin/parend` constructs w/ **no** shared variables.

$\text{PDS} \subseteq \text{GTRS} \subseteq \text{wGTRS} \subseteq \text{sGTRS}$

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- **Pushdown systems (PDS)**: popular model for sequential programs with function calls.
- **Ground Tree Rewrite Systems (GTRS)**: extends PDS & captures `Parallel.For` and `parbegin/parend` constructs w/ **no** shared variables.
- **State-extended GTRS (sGTRS)**: extends GTRS by allowing shared variables. (**Turing-complete!**)

$$\text{PDS} \subseteq \text{GTRS} \subseteq \text{wGTRS} \subseteq \text{sGTRS}$$

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- **Pushdown systems (PDS)**: popular model for sequential programs with function calls.
- **Ground Tree Rewrite Systems (GTRS)**: extends PDS & captures `Parallel.For` and `parbegin/parend` constructs w/ **no** shared variables.
- **State-extended GTRS (sGTRS)**: extends GTRS by allowing shared variables. (**Turing-complete!**)
- **Weakly-Synchronized GTRS (wGTRS)**: a good **decidable** approximation of sGTRS.

$$\text{PDS} \subseteq \text{GTRS} \subseteq \text{wGTRS} \subseteq \text{sGTRS}$$

Ground Tree Rewrite Systems (GTRS)

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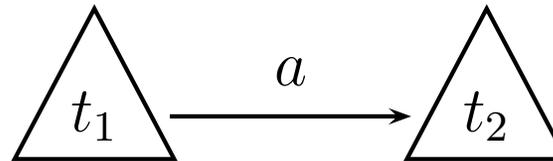
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Syntax:

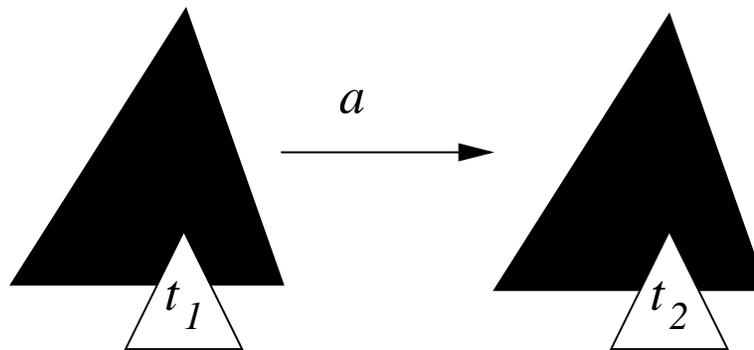
Consists of a finite set of “rewrite” rules that look like



Semantics as a transition system:

Domain: set of all trees (over suitable ranked alphabet)

Transitions:



GTRS example: mergesort

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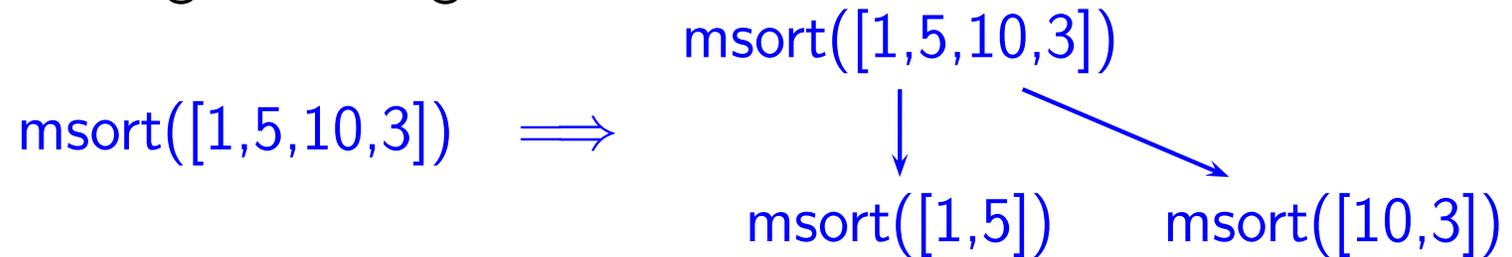
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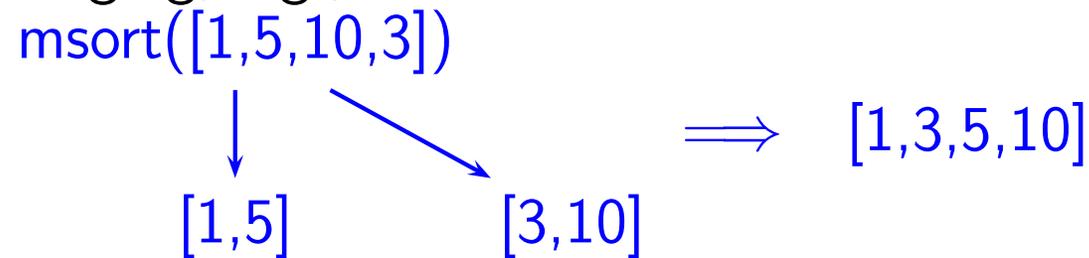
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GTRS rules for arrays of four ints:

- Dividing tasks, e.g.,



- Merging, e.g.,



GTRS example: mergesort

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```
msort([7,1,3,2])
```

GTRS example: mergesort

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`mmerge([7,1,3,2])`

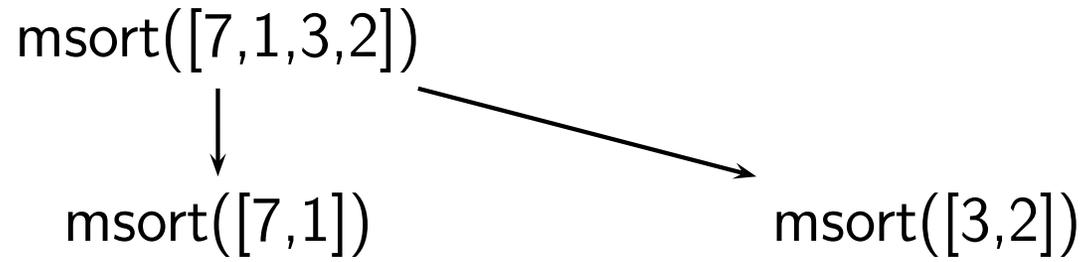
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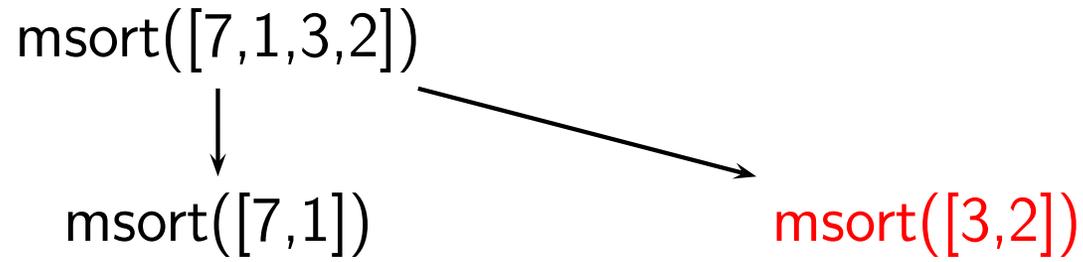
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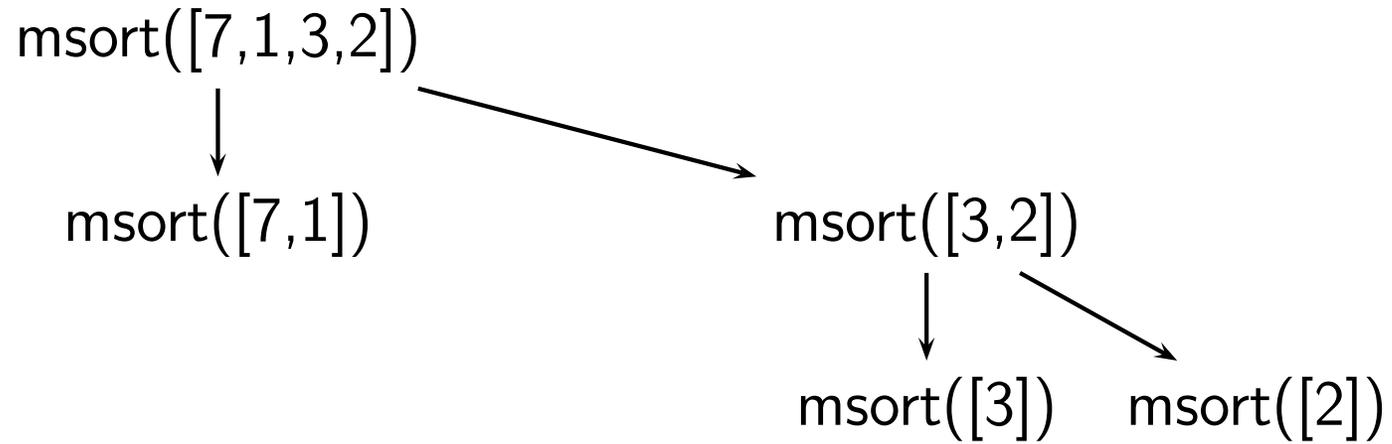
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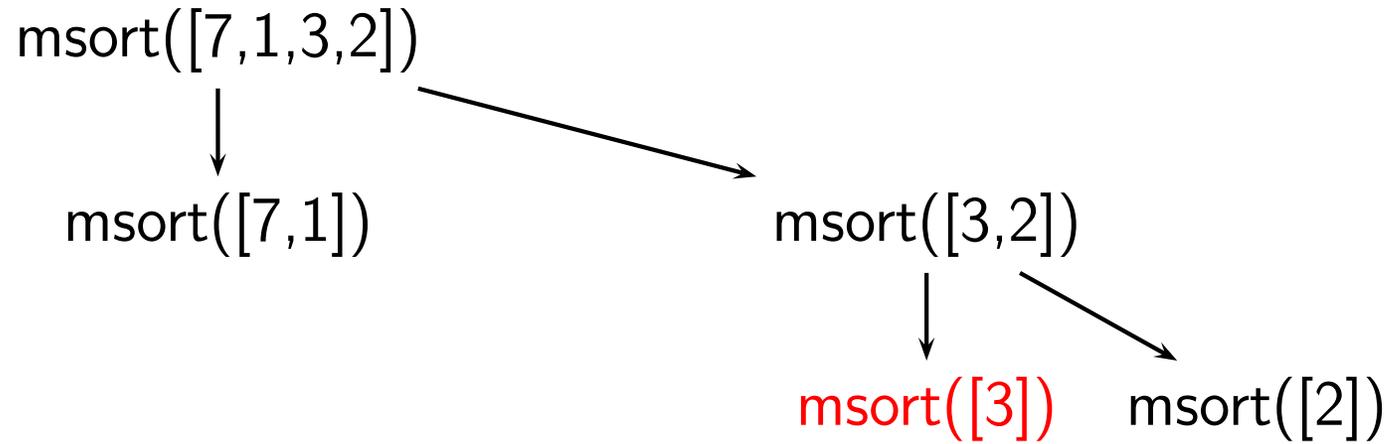
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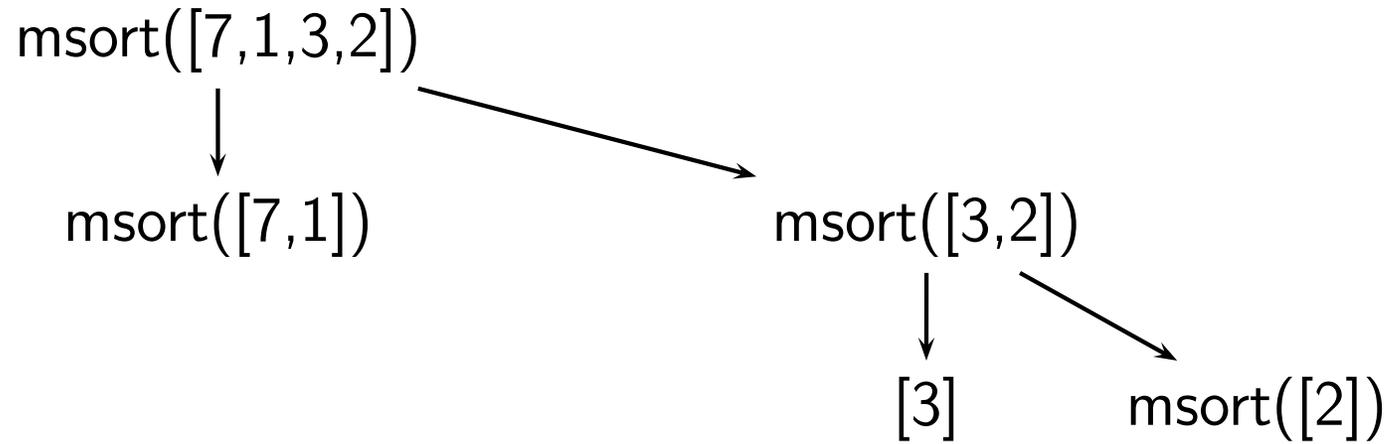
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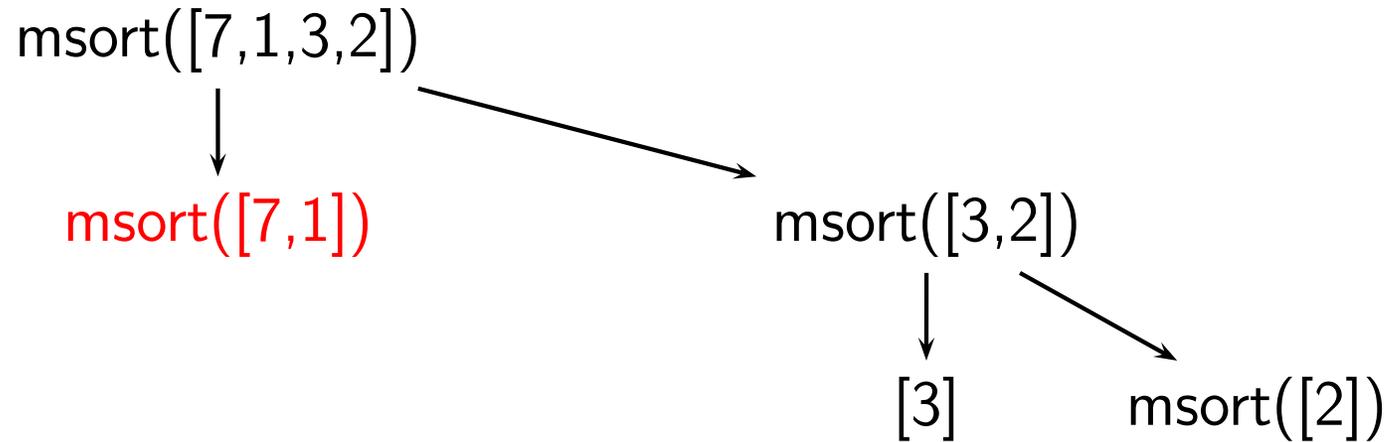
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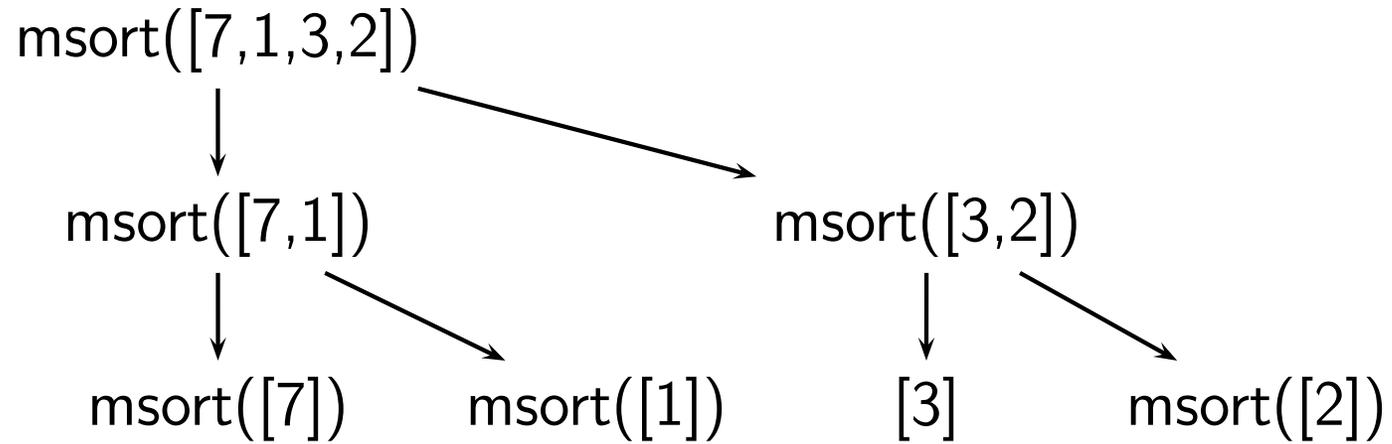
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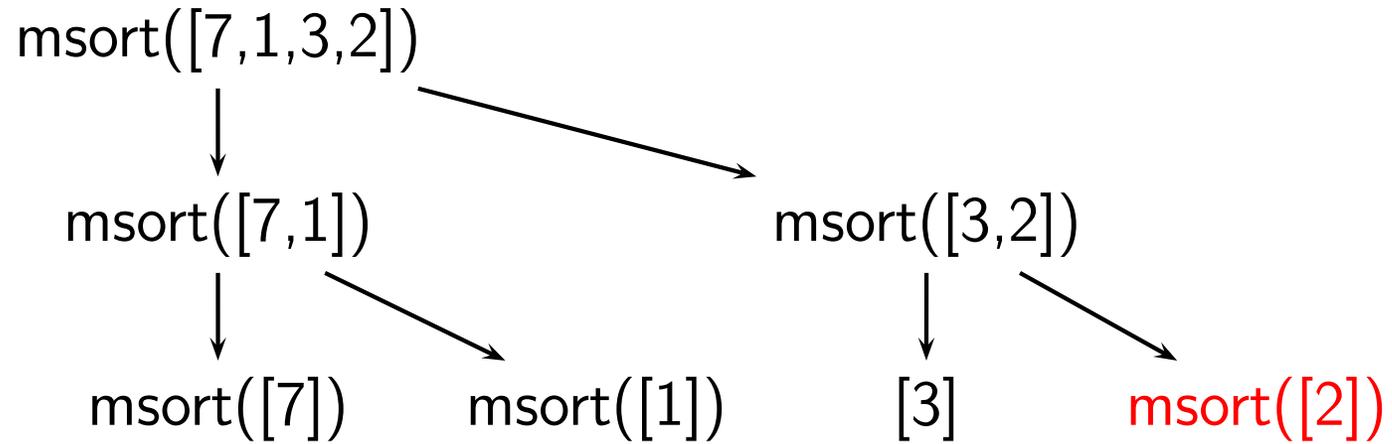
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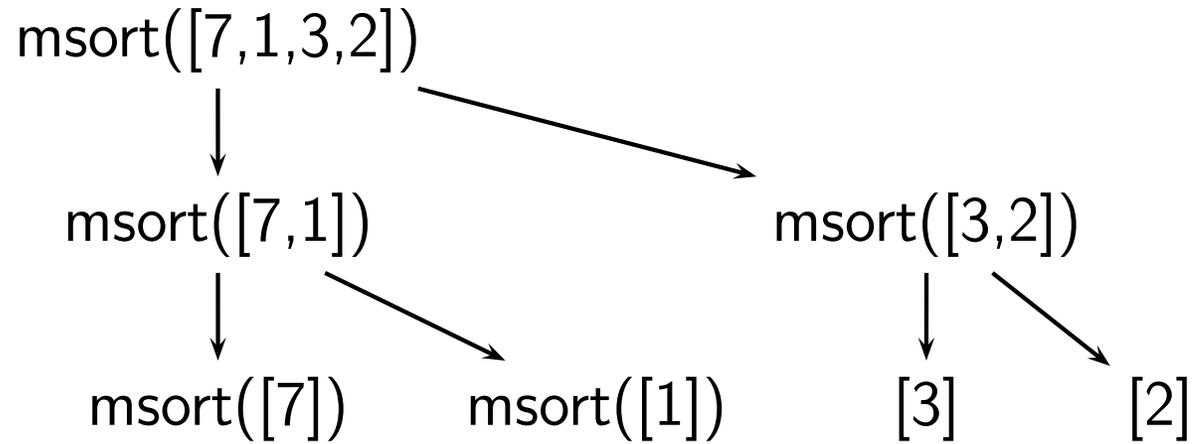
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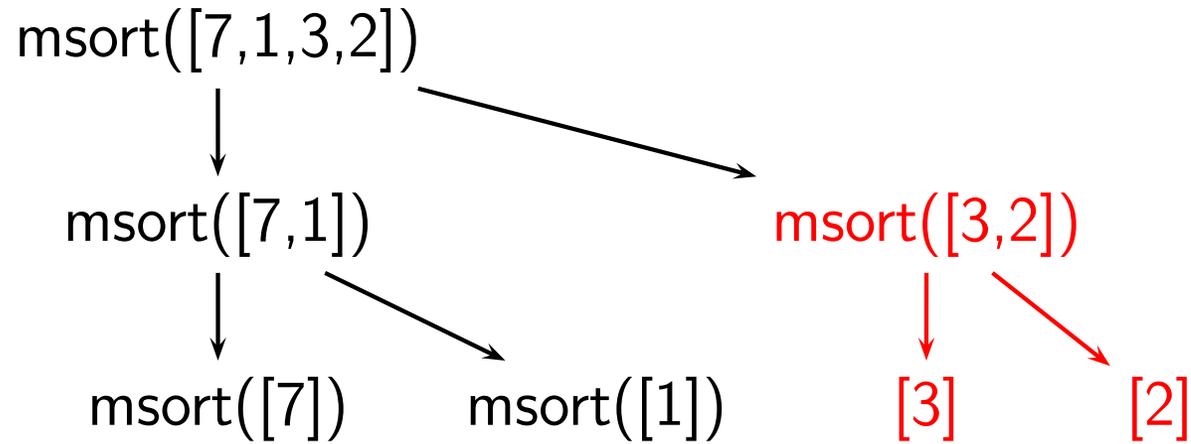
GTRS example: mergesort

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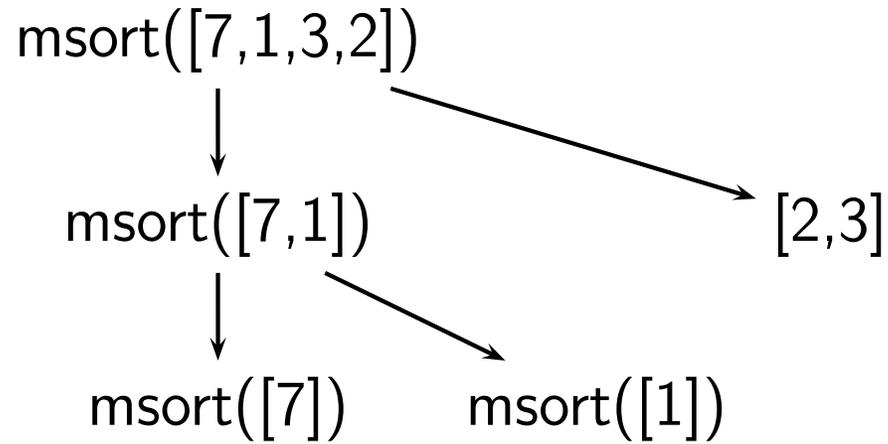
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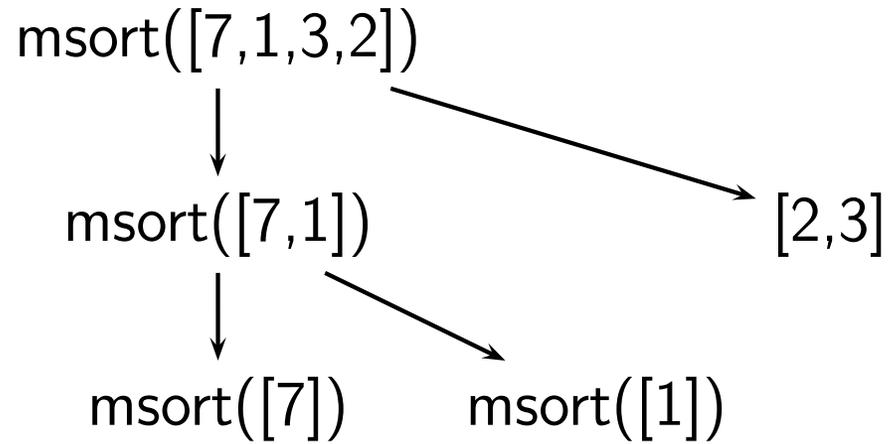
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AND SO ON UNTIL ...

GTRS example: mergesort

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[1,2,3,7]

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State-extended GTRS (sGTRS)

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- Threads often communicate via shared variables
 - **e.g.:** `count++` on calling `mergesort`
- GTRS framework cannot capture this
- In general, need to extend GTRS with **states**

State-extended GTRS (sGTRS)

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Syntax:

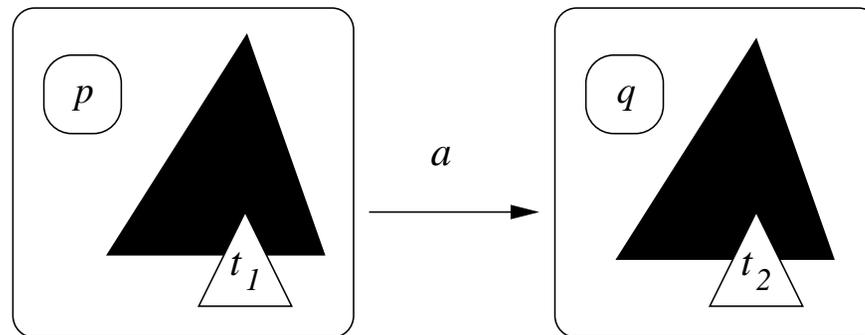
Rewrite rules have control state components

$$\left(p, \triangle_{t_1} \right) \xrightarrow{a} \left(q, \triangle_{t_2} \right)$$

Semantics as a transition system:

Domain: {control states} \times {all trees}

Transitions:



Weakly-Synchronized GTRS (wGTRS)

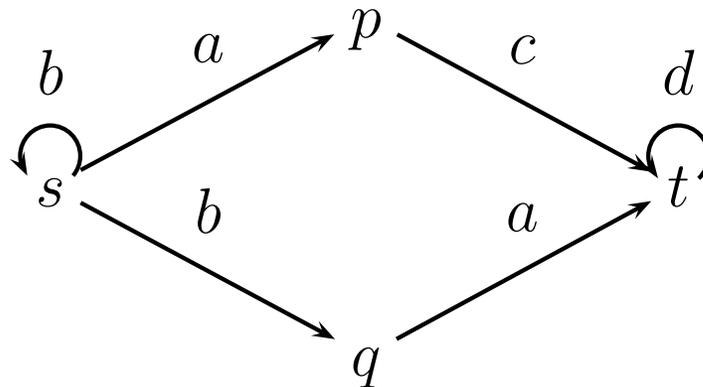
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- sGTRS can simulate 2-stack automata (**Turing-comp!**)
- For decidability, restrict the underlying control graph:
 - omit tree component of rewrite rules
- **wGTRS**: restrict to DAG with self-loops (a.k.a. weak control unit)



Weakly-Synchronized GTRS (wGTRS)

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What good wGTRS for?

- Timing and event constraints among threads
- Captures sGTRS runs up to bounded # of syncs (**many concurrency bugs occur within ≤ 5 syncs**)

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Statements of main results

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Theorem: Reachability for wGTRS is NP-complete. Moreover, it can be efficiently reduced to existential Presburger theory.

- Highly optimised solvers for existential Presburger theory are available (e.g. Z3).
- **Corollaries:**
 - Repeated reachability is NP-complete.
 - Model checking a fragment of LTL is coNP-complete.

Reducing to existential Presburger theory

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WGTRS REACHABILITY

Instance: $\underbrace{\mathcal{G}}_{\text{WGTRS}}, \underbrace{\left(p, \triangle t_1 \right)}_{\text{start conf.}}, \underbrace{\left(q, \triangle t_2 \right)}_{\text{final conf.}}$

Question: $\left(p, \triangle t_1 \right) \xrightarrow{*} \left(q, \triangle t_2 \right) ?$

Reducing to existential Presburger theory

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Overview of the reduction:

- Construct CFG “simulating” wGTRS (more behavior)

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Overview of the reduction:

- Construct CFG “simulating” wGTRS (more behavior)
- Restrict CFG behavior to derivation trees satisfying linear arithmetic constraints ψ on $\#$ occurrences of terminals (Parikh image)

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Overview of the reduction:

- Construct CFG “simulating” wGTRS (more behavior)
- Restrict CFG behavior to derivation trees satisfying linear arithmetic constraints ψ on $\#$ occurrences of terminals (Parikh image)
- Use PTIME algo from *Verma et al. '06* computing Parikh image of CFG as exist. Presburger formula φ

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Overview of the reduction:

- Construct CFG “simulating” wGTRS (more behavior)
- Restrict CFG behavior to derivation trees satisfying linear arithmetic constraints ψ on $\#$ occurrences of terminals (Parikh image)
- Use PTIME algo from *Verma et al. '06* computing Parikh image of CFG as exist. Presburger formula φ
- wGTRS reachability instance is positive iff
$$\langle \mathbb{N}; + \rangle \models \varphi \wedge \psi$$

Simulation of wGTRS by CFG

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$$(p, X) \longrightarrow \left(q, \begin{array}{c} X \\ / \quad \backslash \\ X \quad X \end{array} \right), \quad (q, X) \longrightarrow (q, Y)$$

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$$(p, X) \longrightarrow \left(q, \begin{array}{c} X \\ / \quad \backslash \\ X \quad X \end{array} \right), \quad (q, X) \longrightarrow (q, Y)$$

A run of this wGTRS:

$$(p, X)$$

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The corresponding CFG derivation:

$$N_{(p, X), \left(q, \begin{array}{c} X \\ / \quad \backslash \\ Y \quad Y \end{array} \right)}$$

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$$(p, X) \longrightarrow \left(q, \begin{array}{c} X \\ / \quad \backslash \\ X \quad X \end{array} \right), \quad (q, X) \longrightarrow (q, Y)$$

A run of this wGTRS:

$$(p, X) \rightarrow \left(q, \begin{array}{c} X \\ / \quad \backslash \\ X \quad X \end{array} \right) \rightarrow \left(q, \begin{array}{c} X \\ / \quad \backslash \\ Y \quad X \end{array} \right) \rightarrow \left(q, \begin{array}{c} X \\ / \quad \backslash \\ Y \quad Y \end{array} \right)$$

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$$N_{(p, X), \left(q, \begin{array}{c} X \\ / \quad \backslash \\ Y \quad Y \end{array} \right)} \rightarrow N_{(p, X), \left(q, \begin{array}{c} X \\ / \quad \backslash \\ X \quad X \end{array} \right)} N_{\left(q, \begin{array}{c} X \\ / \quad \backslash \\ X \quad X \end{array} \right), \left(q, \begin{array}{c} X \\ / \quad \backslash \\ Y \quad Y \end{array} \right)}$$

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$$(p, X) \longrightarrow \left(q, \begin{array}{c} X \\ / \quad \backslash \\ X \quad X \end{array} \right), \quad (q, X) \longrightarrow (q, Y)$$

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$$(p, X) \longrightarrow \left(q, \begin{array}{c} X \\ / \quad \backslash \\ X \quad X \end{array} \right), \quad (q, X) \longrightarrow (q, Y)$$

A run of this wGTRS:

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Restricting CFG behavior via Presburger constraints

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Required Constraint: Parikh image of word generated by CFG corresponds to a subgraph of the control graph of wGTRS, whose shape is chain possibly with self-loops.

Restricting CFG behavior via Presburger constraints

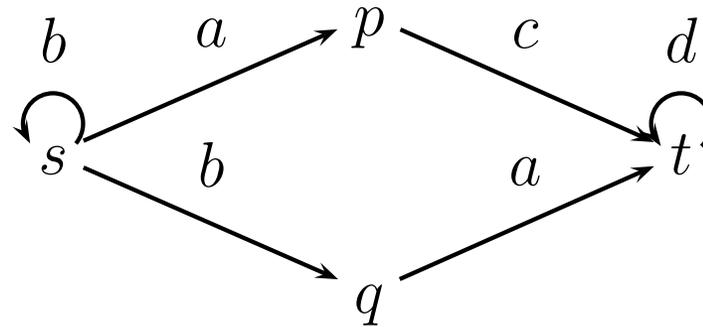
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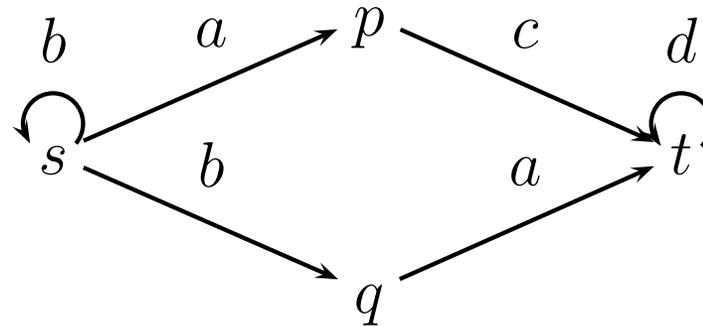
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$T_{s,p}T_{p,t}T_{t,t}$ is good

Restricting CFG behavior via Presburger constraints

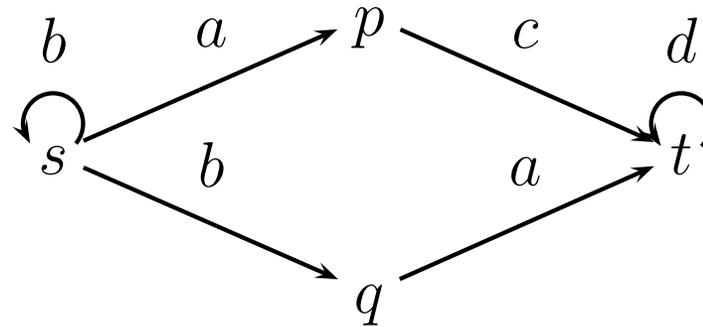
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$T_{s,p}T_{p,t}T_{t,t}$ is **good**

$T_{s,p}T_{s,q}$ is **bad**

Restricting CFG behavior via Presburger constraints

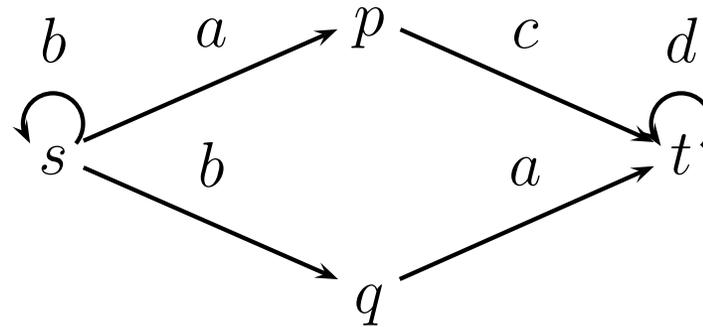
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Required Constraint: Parikh image of word generated by CFG corresponds to a subgraph of the control graph of wGTRS, whose shape is chain possibly with self-loops.



$T_{s,p}T_{p,t}T_{t,t}$ is **good**

$T_{s,p}T_{s,q}$ is **bad**

Required constraint is a conjunction of linear arithmetic expressions, e.g., $\#T_{s,p} > 0 \rightarrow \#T_{s,q} = 0$

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- wGTRS provides good compromise between decidability and modelling power
- wGTRS can be verified by fast reduction to existential Presburger theory (and hence NP or coNP complete)

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Our results

Conclusion

- wGTRS provides good compromise between decidability and modelling power
- wGTRS can be verified by fast reduction to existential Presburger theory (and hence NP or coNP complete)

THANKS FOR LISTENING!